Complain Data - Research Analyst Registration Number: INH000009807

Annual Compliance Reporting

"Disclosure with respect to compliance with Annual compliance audit requirement under Regulation 19(3) of SECURITIES AND EXCHANGE BOARD OF INDIA (INVESTMENT ADVISERS) REGULATIONS, 2013 for last and current financial year are as under:

| Financial Year | Compliance Audit Status | Remarks, If any |
|----------------|-------------------------|-----------------|
| FY 2021-22 | Conducted | NA |
| FY 2022-23 | Conducted | NA |

| Sr.No | | Pending at the end of last month | Received | Resolved | Total Pending | Pending complaints > 3 months | Average Resolution time (in days) |
|-------|-------------------------|----------------------------------|----------|----------|------------------|-------------------------------|---|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Other Sources | 0 | 0 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Data for the month ending – August 2024 Trend of monthly disposal of complaints

| Data for the month chaing - August 2024 frend of monthly disposar of complaints | | | | | | |
|---|-----------|-------------------------------------|----------|----------|---------|--|
| Sr. No. | Month | Carried forward from previous month | Received | Resolved | Pending | |
| 1 | May-2023 | 0 | 0 | 0 | 0 | |
| 2 | Jun-2023 | 0 | 0 | 0 | 0 | |
| 3 | Jul-2023 | 0 | 0 | 0 | 0 | |
| 4 | Aug-2023 | 0 | 0 | 0 | 0 | |
| 5 | Sept-2023 | 0 | 0 | 0 | 0 | |
| 6 | Oct-2023 | 0 | 0 | 0 | 0 | |
| 7 | Nov-2023 | 0 | 0 | 0 | 0 | |
| 8 | Dec-2023 | 0 | 0 | 0 | 0 | |
| 9 | Jan-2024 | 0 | 0 | 0 | 0 | |
| 10 | Feb-2024 | 0 | 0 | 0 | 0 | |
| 11 | Mar-2024 | 0 | 0 | 0 | 0 | |
| 12 | Apr-2024 | 0 | 0 | 0 | 0 | |
| 13 | May-2024 | 0 | 0 | 0 | 0 | |
| 14 | Jun-2024 | 0 | 0 | 0 | 0 | |
| 15 | Jul-2024 | 0 | 0 | 0 | 0 | |
| 16 | Aug-2024 | 0 | 0 | 0 | 0 | |

Trend of annual disposal of complaints

| Sr.No. | Year | Carried forward from previous month | Received | Resolved | Pending |
|--------|-------------|-------------------------------------|----------|----------|---------|
| 1 | 2023-24 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

Annual Audit Reporting

| Financial Year | Compliance Audit Status | Remarks, If any | |
|----------------|-------------------------|-----------------|--|
| FY 2022-23 | Conducted | NA | |